

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

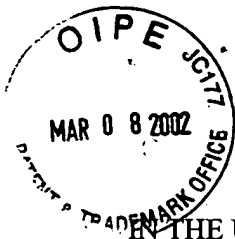
Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**



PATENT APPLICATION  
Docket No.: 9311.36

RECEIVED

APR 1 5 2002

Technology Center 2100

COPY OF PAPERS  
ORIGINALLY FILED

In re application of:

Nicholas A. Thomas et al.

Serial No.:

10/035,875

Filed:

November 9, 2001

For:

METHODS AND SYSTEMS FOR ELECTRONIC  
COUPON ISSUANCE TRANSMISSION AND  
MANAGEMENT

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

BOX: MISSING PARTS

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

I hereby certify that this document and the documents listed below are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: BOX: MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231, on the 27 day of February 2002.

- Notice to File Missing Parts of Nonprovisional Application (1 page)
- Response to Notice to File Missing Parts of Nonprovisional Application (3 pages)
- Check No. 44880 for \$65.00
- Declaration and Power of Attorney for Patent Application (3 pages)
- Recordation Form Cover Sheet (1 page)
- Assignment of Rights, Title and Interest in Invention (3 pages)
- Check No. 44879 for \$40.00
- Postcard

Respectfully submitted,

Michael F. Krieger  
Attorney for Applicant  
Registration No. 35,232

KIRTON & McCONKIE  
1800 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
Telephone: (801) 328-3600  
Facsimile: (801) 321-4893

RECEIVED

MAY 23 2002

GROUP 3600

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
9311.36

In Re Application Of: Nicholas A. Thomas et al.

Serial No.  
10/035,875

Filing Date  
November 9, 2001

Examiner  
not assigned

Group Art Unit  
not assigned

Invention: **METHODS AND SYSTEMS FOR ELECTRONIC COUPON ISSUANCE TRANSMISSION AND  
MANAGEMENT**

**RECEIVED**

APR 18 2002

Technology Center 2100

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
February 8, 2002  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ \_\_\_\_\_ verified small entity declaration(s)
  - ☐ is/are attached.
  - ☒ was/were filed on November 9, 2001
- ☐ A separate request for refund.

☒ Other (list):

Assignment of Rights, Title and Interest in Invention (3 pages)  
Check No. 44880 for \$65.00  
Recordation Form Cover Sheet (1 page)  
Check No. 44879 for \$40.00  
Certificate of Deposit Under 37 CFR 1.8 (1 page)  
Postcard

**COPY OF PAPERS  
ORIGINALLY FILED**

**RECEIVED**  
APR 11 2002  
TC 1/00

**RECEIVED**

MAY 23 2002

**GROUP 3600**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
9311.36

In Re Application Of: **Nicholas A. Thomas et al.**

Serial No.  
**10/035,875**

Filing Date  
**November 9, 2001**

Examiner  
**not assigned**

Group Art Unit  
**not assigned**

Invention: **METHODS AND SYSTEMS FOR ELECTRONIC COUPON ISSUANCE TRANSMISSION AND  
MANAGEMENT**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

- |   |  |                |
|---|--|----------------|
| <input type="checkbox"/> Utility application filing fee   | <b>COPY OF PAPERS<br/>ORIGINALLY FILED</b> | _____          |
| <input type="checkbox"/> Design application filing fee  |  | _____          |
| <input type="checkbox"/> Total number of independent claims = _____   |  | _____          |
| <input type="checkbox"/> Total number of claims = _____   |  | _____          |
| <input type="checkbox"/> Multiple dependent claims  |  | _____          |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath |  | <b>\$65.00</b> |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor               |  | _____          |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification                      |  | _____          |
| <input type="checkbox"/> Fee for processing and retention of application  |  | _____          |

Total completion of application fees **\$65.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

- ☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

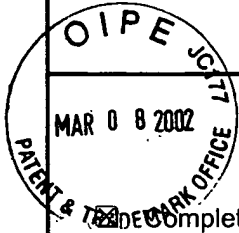
from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

**RECEIVED  
MAY 23 2002**

Total time extension fees \_\_\_\_\_

Total fees due **\$65.00**

**GROUP 3600**



**RECEIVED**  
APR 15 2002  
Technology Center 2100

**RECEIVED**  
APR 11 2002  
TC 1/00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
9311.36

In Re Application Of: Nicholas A. Thomas et al.

Serial No.  
10/035,875

Filing Date  
November 9, 2001

Examiner  
not assigned

Group Art Unit  
not assigned

Invention: **METHODS AND SYSTEMS FOR ELECTRONIC COUPON ISSUANCE TRANSMISSION AND  
MANAGEMENT**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

**COPY OF PAPERS  
ORIGINALLY FILED**

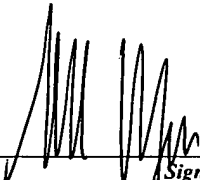
The fee of **\$65.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0843  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0843  
A duplicate copy of this sheet is enclosed.

**RECEIVED  
MAY 23 2002  
GROUP 3600**

**RECEIVED  
APR 11 2002  
TC 1700**

Dated: February 27, 2002

  
Signature  
**Michael F. Krieger  
Attorney for Applicant  
Registration No. 35,232  
KIRTON & McCONKIE  
60 East South Temple, Suite 1800  
Salt Lake City, Utah 84111  
Telephone: (801) 321-4814  
Facsimile: (801) 321-4893**

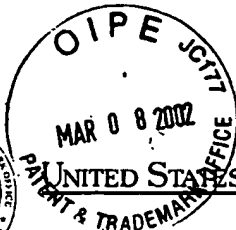
I certify that this document and fee is being deposited on Feb. 27, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

**Michael F. Krieger**

Typed or Printed Name of Person Mailing Correspondence

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/035,875	11/09/2001	Nicholas A. Thomas	9311.36

CONFIRMATION NO. 4335

FORMALITIES LETTER



\*OC000000007445473\*

Michael F. Krieger  
KIRTON & McCONKIE  
Suite 1800  
60 East South Temple  
Salt Lake, UT 84111

COPY OF PAPERS  
ORIGINALLY FILED

RECEIVED

MAY 23 2002

Date Mailed: 02/08/2002

GROUP 3600

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/13/2002 44MARZ11 00000022 10035875  
01 FC:205  
65.00 OP

RECEIVED  
APR 11 2002  
TC 1700

RECEIVED  
APR 15 2002  
Technology Center 2100